

April 1, 2011

TO: PUBLIC TREASURERS

SUBJECT: CERTIFIED DEALERS Page 1 of 3

Following is the list of CERTIFIED DEALERS for the quarter beginning April 1, 2011 through June 30, 2011. THIS LIST SUPERSEDES THE LIST DATED January 1, 2011.

**Transactions involving authorized deposits or investments of public funds may be conducted only through ISSUERS of securities authorized by Section 51-7-11(3), QUALIFIED DEPOSITORIES (refer to current list), Certified Investment Advisers (See List), or CERTIFIED AGENTS OF DEALERS included in this list.** Authorized deposits or investments are generally limited to those securities listed in Section 51-7-11(3). All securities purchased from a certified dealer are required to be delivered to the public treasurer or to the treasurer's safekeeping bank.

The following are the changes from the list dated January 1, 2011.

**Deletions:** Seattle Northwest and all agents are deleted. BOSC and all agents are deleted. **Additions:** JP Morgan Securities and 1 agent, Raymond James & Assoc., Inc and 4 agents, Sterne, Agee & Leach, Inc. and 3 agents, and Cortview Capital Securities LLC and 1 new agent. RBC Capital Markets has added Eric Ajlouny. **Name changes, mergers, etc:** None. **Please check your broker to make sure they are still on the list.**

Broker-dealers included in this list have met the minimum standards established by Utah Administrative Code, Section R628-16. **Inclusion in the list of certified dealers does not constitute an endorsement or recommendation by the Money Management Council or by the Securities Division of the Department of Commerce.** Compliance with the Money Management Act and Rules of the Council continues to be the responsibility of each public treasurer.

BROKER

AGENTS

Barclays Capital Inc.

Peter Bjurstein  
Granger Tripp

\*Cortview Capital Securities LLC

William H. Money III

George K. Baum & Co.

John T. Crandall  
Susan P. Gardner  
Frederic Jones  
Stephen L. Strong  
Brett C. Turnbull

Goldman Sachs & Co.

Judith Donahue  
David McCarthy  
Frank Murphy

\*JP Morgan Securities, LLC

Merrill Lynch, Pierce, Fenner & Smith

Morgan Stanley Smith Barney

\*Raymond James & Associates, Inc.

RBC Capital Markets Corp.

RBC Capital Markets Corp. (Cont'd)

\*Timothy Henry

Leonard Armstrong  
Nathan Armstrong  
Brett R. Bills  
R. Scott Bills  
Robert K. Bills  
Teresa L. Friess  
Tadd Killpack  
Carl L. Laurella  
Donald A. Penney  
Steven Prickett  
Jeffrey J. Roundy  
Rick L. Smith

Cody Adams  
Craig P. Adams  
S. Craig Adams  
Paul Barton  
Stephen H Beierlein  
Deidre M. Cortney  
Dean Cottle  
Douglas Felt  
Phil Fonfara  
John Hallgren  
Thomas Lifson  
Debra Lyman  
Thomas E. Nielson  
John R. Ott  
Brian Parcell  
Angela L. Pizzichini  
F. Barton Reuling  
Robert H. Rose  
Grant Smith  
Ken Webster  
Fred S. Wheeler  
Betsy Whipple  
Christopher Williams

\*Ken Cramer  
\*Mark McKinnon  
\*Scott Lewis  
\*Hugh T. Simonton

Todd N. Adams  
\*Eric Ajlouny  
Eddie Barber Jr.  
Scott Chappell  
Robert S. Cheney  
Sterling Hanson  
Craig Martucci  
Ronald N. Mason

Bradley T. Miller  
Brent Peterson  
Partrick Porter  
Russell Tobler  
Sam Vetas

\*Sterne, Agee & Leach, Inc.

\*Traci L. Salinas  
\*Nicolas Yip

UBS Financial Services Inc.

Barry B. Bowen  
Don Bunnell  
Lisa Cregan  
John Doke  
Tracy Duckworth  
Mathew J. Hufford  
Jim Madden  
Nat Mosley  
Christopher Soutas

Wells Fargo Advisors LLC

R. Dane Bradshaw  
Josh G. Burgon  
Daniel Payne  
David Payne  
Timothy Payne

Wells Fargo Securities, LLC.

Benjamin Byington  
Randy Church  
Jana Creamer  
Thomas Degenaars  
Brandon Hodge  
Tom Jett  
Larry Lundberg  
Ryan Stoker

\*New as of this list.